

**Regional Investor Awareness Seminar with SEBI at Tirupati, Andhra Pradesh in March 2014**



Take  
a look...

**Highlights during the month**

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**Performance Summary**



Investor Accounts

13,057,377



Participants

278



Participant Service Centres

14,444



Demat Custody

₹ 89,39,876 Cr.



Settlement

₹ 1,38,605 Cr.



Companies available for demat

12,210



**Instruments**

- ◆ Debentures/Bonds 9,547
- ◆ Securitised Instruments 1,018
- ◆ Commercial Paper 1,111
- ◆ Certificates of Deposit 1,673

**Did you know?**

The Reserve Bank of India (RBI) has decided to grant “in-principle” approval to two applicants viz., IDFC Limited and Bandhan Financial Services Private Limited, to set up banks under the Guidelines on Licensing of New Banks in the Private Sector.

Source: RBI website



**Quote of the Month :**

If a business does well, the stock eventually follows - Warren Buffett



## News Articles

### SEBI Clarification on simplification of demat account opening process

To have a better understanding & to adopt uniform approach in implementing the guidelines for simplification of demat account opening process, SEBI has clarified that separate acknowledgment for having received the 'Rights and Obligations of the Beneficial Owner and Depository Participant' be obtained for each account if single Client is holding multiple accounts. As each account is treated as a different client, acknowledgement is to be obtained for each account separately.

Further, in case demat account is operated by Custodians, client will have to submit / acknowledge 'Rights and Obligations of the Beneficial Owner and Depository Participant' document. If POA holder has signed the account opening form (as authorized by the power of attorney), then the acknowledgment can be given by the POA holder. This will typically be applicable for non-individual accounts. In case of entities which were exempted from signing Depository Participant – Beneficial Owner agreement, acknowledgement for having received the 'Rights and Obligations of the Beneficial Owner and Depository Participant' document, is not required.

(Ref: Circular No. NSDL/POLICY/2014/0031 dated March 21, 2014)

### Increase in validity period for registration of IDeAS facility through DIRECT

DIRECT (Direct Ideas REgistration of Client account) facilitates registration for IDeAS facility directly at NSDL for new as well as existing depository accounts activated in Individual category (i.e. Resident-Ordinary, Resident-HUF, NRI-Repatriable, NRI-NonRepatriable and Foreign National-FN). An email for IDeAS registration is sent to the email address captured in the DPM System in respect of the sole holder or one of the account holder in case of joint accounts.

At present, if the account holder does not login to IDeAS facility within 30 days of sending of the email, the registration link gets invalid / expired & the account holder will have to opt for usual method for IDeAS registration. Based on the recommendations received from Participants/clients, with effect from March 15, 2014, NSDL has extended the period for registration of IDeAS facility through DIRECT from 30 days to 60 days.

(Ref: Circular No. NSDL/POLICY/2014/0022 dated March 4, 2014)

### Amendment to SEBI KYC Registration Agency Regulations, 2014

As per earlier KRA Regulations, Participants have an option available to access the centralised KRA system in case of a client who is already KYC compliant or carry out fresh KYC process. SEBI has now notified that the option to the Participant of taking fresh KYC documents again from the client has been done away with. However, Participant can undertake enhanced KYC measures commensurate with the risk profile of its clients.

(Ref: Circular No. NSDL/POLICY/2014/0038 dated March 28, 2014)

### Implementation of Rajiv Gandhi Equity Savings Scheme (RGESS), 2013

Government of India vide its notification dated December 18, 2013 in terms of clause 7 (2) of the Rajiv Gandhi Equity Savings Scheme, 2013 informed that '*The eligible securities shall be held for a period called the fixed lock-in period which shall commence from the date of purchase of such securities in the relevant financial year and end on the 31st day of March of the year immediately following the relevant financial year*'. Further, in terms of notification dated November 23, 2012 for the Rajiv Gandhi Equity Savings Scheme, 2012, the fixed lock-in was for a period of one year.

Accordingly, to implement the fixed lock-in for one year, the 'quantity level freeze' was executed for 'Fixed Lock-in' period of which one year was applicable from the Date of Credit (say December 26, 2012) till the period of one year (i.e. till the EOD of December 25, 2013). However, in view of the notification dated December 18, 2013, the quantity level freeze executed in respect of RGESS eligible securities for fixed lock-in period will continue to remain in 'Freeze' status till March 31st and the aforesaid securities will be unfrozen in the BOD of April 1st.

(Ref: Circular No. NSDL/POLICY/2014/0035 dated March 27, 2014)

### Commencement of Dematerialisation of Treasury Bills in NSDL

In addition to providing facility to beneficiary holders to hold dated Government Securities (G-Secs) in dematerialised form in NSDL system, NSDL has now commenced the dematerialisation of Treasury Bills (T-Bills). Since T-Bills are also a form of G-Secs as per Government Securities Act, 2006, the operational procedure(s) in respect of T-Bills for transfer of securities from Subsidiary General Ledger (SGL) / Constituent Subsidiary General Ledger (CSGL) account to the depository account or vice versa, reimbursement of maturity proceeds to the investor(s) etc. will be similar to the procedure(s) currently followed for dated G-Secs.

(Ref: Circular No. NSDL/POLICY/2014/0028 dated March 13, 2014)

**Training Programmes for Participants:**

- **NCDO/NISM training / certification programmes for Participants**  
To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted two such training programmes in March 2014 at Mumbai which were attended by 41 officials of Participants.
- **CPE Training Programme for Participants**  
NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In March 2014, NSDL conducted eight such training programmes at various locations viz., Chandigarh, Chennai, Hyderabad, Jaipur, Kochi, Mumbai, New Delhi and Noida which were attended by 222 officials of Participants.
- **KYC Training Programme for Participants**  
In March 2014, NSDL conducted a 'KYC requirements for Account Opening' training programme at Kolkata which was attended by nine officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.
- **SHR Training Programme for Issuers and Registrar & Transfer Agents (RTA)**  
Training programme for Issuers and Registrar & Transfer Agents (RTA) i.e. SHR training programme focuses on day-to-day operations with respect to various operations modules and aspects of NSDL depository system viz., demat/remat, settlement, Beneficiary Positions, Corporate Action, Reconciliation, Record Maintenance etc. which also covers the various system modules viz., Connectivity, Installation procedure, Fault logging and System Security. In March 2014, NSDL conducted one such training programme in Mumbai which was attended by seven officials.

**Investor Education initiatives undertaken by NSDL**

- **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During March 2014, NSDL organised 11 such IDMs at Sivakasi, Sivagangai and Ramanathapuram in Tamil Nadu, Sibsagar and Tinsukia in Assam, Durg and Rajnandgaon in Chhattisgarh, Chiplun, Ratnagiri and Malvan in Maharashtra and in Faridabad in Haryana which were attended by around 300 investors.
- **Regional Investor Awareness Seminar with Securities and Exchange Board of India (SEBI):** In order to reach out to masses spread across the country and to apprise them about the facilities available in NSDL depository system, NSDL conducted Regional Investor Awareness Seminar with SEBI in March 2014 at Tirupati in Andhra Pradesh which was attended by around 240 investors.
- **Participation in events conducted by Institutions:** In March 2014, NSDL sponsored two events viz., "Cognizance 2014" at Indian Institute of Technology Roorkee, Uttarakhand organised by IIT Roorkee & "Crossroads 2014" at Shri Ram College of Commerce (SRCC), Delhi. Over 900 participants visited the NSDL stall at these events. Various aspects on Depository related services were explained to these participants attending these events.
- **Training Programme conducted for college students:** In March 2014, NSDL conducted three training programmes for around 270 college students from different Institutes viz., Joshi Bedekar College of Management Studies, Mumbai, Centre for Management Studies, Dibrugarh University, Assam and Shivaji University, Kolhapur. Various aspects on Depository related services were addressed to these students attending these programmes.
- **Training Programme organized by Institutions:** In March 2014, NSDL conducted training programmes on recent developments and the various features available in the NSDL Depository system for 96 Indian Revenue Service (IRS) officers at the request of National Institute of Securities Markets (NISM).
- **Corporate Awareness Programme (CAP):** During March 2014, NSDL conducted a Corporate Awareness Programme (CAP) for around 50 employees of advertising company "Jack In The Box" in Mumbai. Various aspects on Depository related services were explained to these employees attending this programme.
- **Event conducted by NSDL during March 2014:**
  - ❖ **NSDL Cricket Extravaganza – 2014**  
NSDL hosted its annual cricket tournament titled "NSDL Cricket Extravaganza-2014" for its DPs across Mumbai, Ahmedabad, Chennai, Delhi & Kolkata in March 2014. Many DPs participated in this tournament making it a grand success. The categories & list of winners of NSDL Cricket Extravaganza – 2014 are as follows:

Location	Winner's Gallery	Runners-up Gallery
Mumbai	ICICI Bank Limited	HDFC Bank Limited
Ahmedabad	J K Securities Private Limited	Investment Securities Limited
Chennai	Integrated Enterprises (India) Limited	BgSE Financials Limited
Delhi	Globe Capital Market Limited	Religare Securities Limited
Kolkata	BMA Wealth Creators Limited	Peerless Securities Limited

## List of Companies that have changed Names (During March 2014)

Sr. No.	Old Name	New Name
1	Moser Baer Power and Infrastructures Limited	Hindustan Thermalprojects Limited
2	Vijay Growth Financial Services Limited	Supra Trends Limited
3	Welspun Developers & Infrastructure Private Limited	Welspun Developers and Infrastructure Limited
4	Vaishnavi Finlease Private Limited	Venus India Asset-Finance Private Limited
5	Fortis Global Healthcare Limited	Fortis Global Healthcare Private Limited
6	Ravileela Dairy Products Limited	Milk Partners India Limited
7	Himavat Power Private Limited	Himavat Power Limited
8	Navjyoti Overseas Private Limited	TDI Realcon Private Limited
9	Cordys Randd (India) Private Limited	Cordys Software India Private Limited
10	India Infoline Limited	IIFL Holdings Limited
11	India Infoline Distribution Company Limited	India Infoline Limited
12	B B Investments Limited	Dwitiya Trading Limited
13	DCM Shriram Consolidated Limited	DCM Shriram Limited
14	Ennore Port Limited	Kamarajar Port Limited
15	The Saraswati Industrial Syndicate Limited	ISGEC Heavy Engineering Limited
16	Ravinay Trading Company Limited	Nyssa Corporation Limited
17	QuEST Global Manufacturing Private Limited	Aequs Private Limited
18	Aricent Infra Limited	Kyra Landscapes Limited
19	Leighton Welspun Contractors Private Limited	Leighton India Contractors Private Limited
20	Mod Age Investment Private Limited	Mod Age Consultants & Advisory Services Private Limited
21	TVS Energy Limited	Green Infra BTV Limited
22	TVS Wind Power Limited	Green Infra Wind Power Theni Limited
23	TVS Wind Energy Limited	Green Infra Wind Energy Theni Limited
24	Essar Capital Finance Private Limited	Inimitable Capital Finance Private Limited

## Corporate Action Statistics

Sr. No.	Description	During March 2014		For F.Y. 2013 - 14	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	179,028	7,887,438,811	5,903,048	88,967,840,519
2	Preference Shares	143,505	39,221,132,929	408,682	44,982,341,127
3	Bonds	63,228	107,147,684	395,755	1,310,459,607
4	Commercial Papers	524	1,281,920	6,245	14,618,165
5	Certificates of Deposit	1,792	21,028,957	7,455	83,208,113
6	Securitised Instruments	60	1,706,094,630	730	5,586,644,013
7	Mutual Fund Units	61,110	3,121,121,870	323,483	6,027,406,932
8	Government Securities	0	0	242	47,080,400
9	Warrants	0	0	42	340,703,420
10	Commodities	0	0	810	38,459,887
11	Mutual Fund Units (Trase)	13,623	78,028,792	140,288	917,907,518
	<b>Total</b>	<b>462,870</b>	<b>52,143,275,594</b>	<b>7,186,780</b>	<b>148,316,669,701</b>

### List of Participant Service Centre:

❖ For complete list of Participant Service Centres, kindly visit <https://nsdl.co.in/dpsch.php> on NSDL website.

## Performance Summary

## INVESTORS

Month -Year	Client Accounts
	No. of Accounts (lakh)
Mar-12	120.48
Mar-13	126.88
Mar-14	130.57

## PARTICIPANTS

Month - Year	No. of Participants	Service Centres	Cities / Towns
Mar-12	282	14,033	1,554
Mar-13	282	14,641	1,581
Mar-14	278	14,444	1,566

## DEMATERIALISATION

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Mar-12	57,980	71,32,282
Mar-13	68,648	76,79,027
Mar-14	79,550	89,39,876

## SETTLEMENT (Listed Equity Shares)

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Mar-12	736	1,28,740
Mar-13	710	1,17,353
Mar-14	643	1,38,605

(Single Side)

## EQUITY

Month - Year	No. of Companies Operational
Mar-12	9,741
Mar-13	10,843
Mar-14	12,210

## Companies

## DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Mar-12	606	7,746	10,25,954
Mar-13	743	9,027	12,77,834
Mar-14	883	9,547	14,60,589

## SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Mar-12	774	515	35,739
Mar-13	519	801	43,873
Mar-14	696	1,018	75,284

## COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Mar-12	197	1,263	90,019
Mar-13	186	1,167	1,08,758
Mar-14	166	1,111	1,10,259

## CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Mar-12	46	1,484	4,78,191
Mar-13	44	1,408	4,40,692
Mar-14	40	1,673	4,44,226

## Securities admitted for Dematerialisation during March 2014

Sr. No.	COMPANY	ISIN	Sr. No.	COMPANY	ISIN
1	A Infrastructure Limited	INE534E01012	88	Meenakshi Enterprises Limited	INE242Q01016
2	A.P. Met-Engg Limited	INE408Q01013	89	Mega Nirman & Industries Limited	INE216Q01010
3	Acron Developers Pvt. Ltd.	INE221Q01010	90	Megafine Pharma Private Limited	INE379Q01016
4	Acron Hospitality Pvt. Ltd.	INE381Q01012	91	Mercantile Ventures Limited	INE689Q01013
5	Akashdeep Metal Industries Limited	INE149Q01013	92	Monarch Catalyst Private Limited	INE302Q01018
6	Alia Pramedia Services Private Limited	INE357Q01012	93	Monarch Project and Finmarkets Limited	INE363Q01010
7	Amrit Meditune Private Limited	INE271Q01015	94	Moser Baer Energy & Infrastructure Limited	INE395Q01012
8	Antara Purukul Senior Living Limited	INE295Q01014	95	Motherson Techno Tools Limited	INE358Q01010
9	Arlisto Realtors Infrastructure Private Limited	INE273Q01011	96	Ms Vyapaar Private Limited	INE265Q01017
10	Arlisto Shelters Private Limited	INE526M01011	97	Muskan Mercantile Private Limited	INE362Q01012
11	Ashvi Developers Private Limited	INE274Q01019	98	MWF Logistics Private Limited	INE321Q01018
12	Asia Capital Limited	INE131Q01011	99	Nasik Entertainment World Developers Private Limited	INE396Q01010
13	Asian Institute of Gastroenterology Private Limited	INE264Q01010	100	New Delhi Centre for Sight Private Limited	INE315Q01010
14	Asian Institute of Nephrology and Urology Private Limited	INE279Q01018	101	Ninestars Information Technologies Limited	INE352Q01013
15	August Residency Private Limited	INE294Q01017	102	Nishtha Finance and Investment (India) Limited	INE217Q01018
16	Avantha Ergo Life Insurance Company Limited	INE383Q01018	103	Nomos Properties Private Limited	INE223Q01016
17	Avarsekar & Sons Private Limited	INE359Q01018	104	NSL Wind Power Company (Sayamalai) Private Limited	INE250Q01019
18	Avarsekar Realty Private Limited	INE289Q01017	105	O P Chains Limited	INE118Q01018
19	Azure Urja Private Limited	INE360Q01016	106	Our Co. Infrastructure Developers Private Limited	INE238Q01014
20	B I (India) Private Limited	INE353Q01011	107	Oyster Medisafe Private Limited	INE292Q01011
21	Bansal Roofing Products Limited	INE319Q01012	108	P. B. Films Limited	INE212Q01019
22	Barque Hotels Private Limited	INE407Q01015	109	Pawanputra Marketing Private Limited	INE382Q01010
23	Bhaskar Steel & Ferro Alloy Limited	INE350Q01017	110	Peppermint Hospitality India Private Limited	INE349Q01019
24	Bilfinger Neo Structo Private Limited	INE986N01015	111	PHL Capital Private Limited	INE339Q01010
25	Birla Edutech Limited	INE276Q01014	112	Phthalo Colours And Chemicals (India) Ltd.	INE229Q01013
26	Boostmetric Solutions Limited	INE251Q01017	113	Pilani Soft Labs Private Limited	INE341Q01016
27	Briggs Trading Company Private Limited	INE424Q01010	114	Piramal Pharmaceutical Development Services Private Limited	INE338Q01012
28	BSJ Financial Services Private Limited	INE263Q01012	115	PLG Photovoltaic Limited	INE234Q01013
29	BTC Industries Limited	INE298Q01018	116	Potential Investments and Finance Limited	INE919P01011
30	Capital Trade Links Limited	INE172D01021	117	Pragati Traders Private Limited	INE365Q01015
31	Cauvery Iron & Steel (India) Limited	INE385Q01013	118	Premier Chennai Properties Private Limited	INE245Q01019
32	Cesare Bonetti India Private Limited	INE403Q01016	119	Premium Transport Private Limited	INE287Q01011
33	Cher Hair & Beauty Lounge Private Limited	INE240Q01010	120	Premjayanti Enterprises Private Limited	INE364Q01018
34	Chetak Tollways Limited	INE291Q01013	121	Pristine Mega Logistics Park Private Limited	INE356Q01014
35	Club Arzee Limited	INE259Q01010	122	Pune Entertainment World Developers Private Limited	INE398Q01016
36	Coastal Local Area Bank Limited	INE293Q01019	123	Punj Investments Private Limited	INE278Q01010
37	Colour Chromes Pvt. Ltd.	INE260Q01018	124	Radhey I.T. Solution Private Limited	INE272Q01013
38	Concast Jabalpur Road Projects Pvt. Ltd.	INE300Q01012	125	Radikal Foods Limited	INE306Q01019
39	Coromandel Agrico Private Limited	INE337Q01014	126	Rajshree Finvest Limited	INE297Q01010
40	Credo Brands Marketing Private Limited	INE220Q01012	127	Reliance Exchangenext Limited	INE393Q01017
41	Delhi MSW Solutions Limited	INE252Q01015	128	Reliance Land Private Limited	INE394Q01015
42	Devkiran Paper Mills Private Limited	INE258Q01012	129	Reliance Share & Stock Brokers Private Limited	INE392Q01019
43	Dhanuka Commercial Ltd.	INE296Q01012	130	Reliance Venture Asset Management Private Limited	INE391Q01011
44	Doonvalley Technopolis Private Limited	INE243Q01014	131	Riddhi Portfolio Private Limited	INE351Q01015
45	Eastern Credit Capital Private Limited	INE354Q01019	132	Rite Water Solutions (India) Private Limited	INE235Q01010
46	Fortius Property Developers Private Limited	INE421Q01016	133	RRB Energy Limited	INE426Q01015
47	Gaadi Web Private Limited	INE406Q01017	134	Rugby Renergy Private Limited	INE399Q01014
48	GAP Infrastructure and Housing Pvt Ltd	INE320Q01010	135	S.A. Enclave Private Limited	INE230Q01011
49	Glaxo Finance Private Limited	INE427Q01013	136	Sabi Viniyog Private Limited	INE266Q01015
50	Godrej Buildcon Private Limited	INE355Q01016	137	Sailana Wind Energy Private Limited	INE249Q01011
51	Golden Gate Properties Limited	INE308Q01015	138	Saltire Developers Private Limited	INE239Q01012
52	Greenko Hatkoti Energy Private Limited	INE290Q01015	139	Sanjay Tools and Accessories Private Limited	INE225Q01011
53	G-Tec Telesolution Private Limited	INE268Q01011	140	Sanskriti Mega Structure Pvt. Ltd.	INE384Q01016
54	Gujarat Bitumen Limited	INE003Q01012	141	Sargam Diecastings Private Limited	INE237Q01016
55	HIM Urja Private Limited	INE270Q01017	142	Sarju Impex Limited	INE412Q01015
56	Hitech Dealtrade Private Limited	INE410Q01019	143	Sarvodaya Beopar Limited	INE712E01014
57	Ibibo Group Private Limited	INE340Q01018	144	Shalivahana (Biomass) Power Projects Limited	INE413Q01013
58	Ibibo Web Private Limited	INE400Q01010	145	Shelly Fiscal Services Private Limited	INE226Q01019
59	IFMR Investment Adviser Services Private Ltd	INE405Q01019	146	Shirodkar Preci Comp Private Limited	INE228Q01015
60	IFMR Rural Channels and Services Private Limited	INE255Q01018	147	Shogun Organics Limited	INE280Q01016
61	Indiabulls Infrastructure Private Limited	INE422Q01014	148	Shree Karthik Papers Limited	INE538D01015
62	Indian Clothing League Private Limited	INE397Q01018	149	Singhal Alloys Ispat Private Limited	INE224Q01014
63	ITZ Cash Card Limited	INE310Q01011	150	Singhal Steel Private Limited	INE227Q01017
64	Jai Bharat Gum and Chemicals Ltd.	INE317Q01016	151	Skyline Ventures India Limited	INE055Q01012
65	Jet Privilege Private Limited	INE148P01017	152	SPML Infrastructure Limited	INE361Q01014
66	Jigyasa Infrastructure Limited	INE107Q01011	153	SRF Transnational Holdings Limited	INE316Q01018
67	Jupiter City Developers (India) Limited	INE423Q01012	154	Sukanya Trading & Finance Private Limited	INE231Q01019
68	Kalpataru Satpura Transco Private Limited	INE305Q01011	155	Supertech Precast Technologies Private Ltd	INE236Q01018
69	Kanika Shipping Limited	INE366Q01013	156	Suryoday Micro Finance Private Limited	INE428Q01011
70	Kanpur Logistics Park Private Limited	INE275Q01016	157	Swams Credit Corporation India Pvt Limited	INE914Q01015
71	Karvy Financial Services Limited	INE308L01016	158	Tamilnadu Steel Tubes Limited	INE176E01012
72	Kavi Merchandise Private Limited	INE267Q01013	159	Tarini Enterprises Limited	INE999P01013
73	KFC Shoemaker Private Limited	INE312Q01017	160	Taurus India Limited	INE299Q01016
74	Khiranagar Development Private Limited	INE256Q01016	161	The Swadeshi Commercial Company Limited	INE089P01013
75	Knowledgewoods Consulting Private Limited	INE411Q01017	162	Ujjwala Conclave Private Limited	INE269Q01019
76	Kora Constructions Private Limited	INE244Q01012	163	Unigro Developers Limited	INE248Q01013
77	Lanco Devihalli Highways Limited	INE233Q01015	164	Unity Appliances Limited	INE380Q01014
78	Lanco Hoskote Highway Limited	INE232Q01017	165	Ushdev Trade Limited	INE277Q01012
79	Learning Edge Academy of Professionals Limited	INE301Q01010	166	Vaata Infra Limited	INE311Q01019
80	Legacy Mercantile Limited	INE241Q01018	167	Vallabh Tinplate Private Limited	INE253Q01013
81	Lily Commodities Private Limited	INE222Q01018	168	Vitan Agro Industries Limited	INE186Q01015
82	Looksharp Commosale Private Limited	INE409Q01011	169	VLS Capital Limited	INE304Q01014
83	Lumino Industries Limited	INE185Q01017	170	Welmen Dealcomm Private Limited	INE257Q01014
84	Maa Durga Solutions Limited	INE213Q01017	171	Western Agrotech Innovative Ltd	INE348Q01011
85	Magnum Trust Limited	INE262Q01014	172	Wide Ventures (India) Private Limited	INE307Q01017
86	Manvijay Development Company Limited	INE945P01016	173	York Exports Limited	INE057Q01018
87	Marveledge Realtors Private Limited	INE199Q01018			

## TOPIC OF INTEREST

### Know more about Rajiv Gandhi Equity Savings Scheme (RGESS)

(Continued from March 2014 issue)

#### 7. Who all will be covered under the Scheme? Who is a new investor?

**Ans.** The Scheme is open for all New Retail Investors who have gross total income less than or equal to ₹ 12 lakh. A new retail investor is one:

- who is a resident individual (the benefit cannot be availed by HUF, corporate entities / trusts etc)
- who has not opened a Demat account and has also not done any trading in the derivative segment till RGESS account opening date or the first day of the “initial year” in which he brings in the RGESS eligible investment into the account, whichever is later.
- who has opened a Demat account and has not made any transactions in equity and /or in the derivative segment till designating such account as RGESS or the first day of the “initial year” in which he brings in the RGESS eligible investment into the account, whichever is later.

In case of joint accounts, only the first account holder will not be considered as a new retail investor. All those existing account holders other than the first demat account holder (eg. second / third account holders or other joint holders) or nominees of the existing account holders will be considered as new retail investors for the purpose of opening of a fresh RGESS account, if otherwise eligible.

In case the demat account is opened as a first holder, but there are no transactions in the equity or derivative segment, then the first account holder is eligible to be a new retail investor.

For taking the benefits under RGESS, the new retail investor will have to submit a declaration, as in Form ‘A’, to the Depository Participant (DP) at the time of account opening or designating his existing demat account.

Eligible securities, which are brought thereafter into such an account, will be automatically subject to lock-in upto a value of ₹ 50,000, unless the investor specifies otherwise through the Form ‘B’ specified in this regard.

#### 8. I am a non-resident Indian; Am I eligible for RGESS?

**Ans.** The Scheme is for an individual resident in India as per the provisions of the Income Tax Act.

#### 9. Can a Guardian claim RGESS tax benefit if investment is done in the name of Minor?

**Ans.** Yes. Guardian can claim tax benefit for investments done in the name of minor, subject to overall limit for guardian as an individual.

*Concluded.*

## NSDL Calendar of Events

### COMPLIANCE REQUIREMENT

Sr.No.	Particulars	Reference	Due date	Mode of sending
1.	Charge Structure.	(i) Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. (ii) Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.	April 30th every year.	By email at <a href="mailto:dpfees@nsdl.co.in">dpfees@nsdl.co.in</a>
2.	Internal/ Concurrent Audit Report (October - March)	Circular No. NSDL/ POLICY/2008/0077 dated November 3, 2008.	May 15th every year	Digitally signed report by email at <a href="mailto:nsdl-bp-inspection@nsdl.co.in">nsdl-bp-inspection@nsdl.co.in</a> . Alternatively, by hard copy to Business Partner Inspection Department.

## 'KNOWLEDGE WINS' Contest

**Under RGESS scheme, what is the definition of 'New Retail Investor'?**

Send your replies providing your contact details (Name, address and contact number) with the subject 'Knowledge Wins Contest - April 2014' to [info@nsdl.co.in](mailto:info@nsdl.co.in)

#### Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these Terms or which tampers with the entry process
- NSDL reserved the right to discontinue the contest at any given point of time without prior information
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

**Lucky 25  
Winners will  
Win Free  
Goodies**

## NSDL Offices

Head Office	Branch Offices	
<b>Mumbai</b> 4th Floor, 'A' Wing, Trade World, Kamala Mills Compound, Senapati Bapat Marg, Lower Parel, Mumbai - 400013 Tel: (022) 24994200 / 40904200 Fax: (022) 24976351	<b>Ahmedabad</b> Unit No. 407, 4th floor, 3rd Eye One Commercial Complex Co-op. Soc. Ltd., C. G. Road, Near Panchvati Circle, Ahmedabad - 380006 Tel: (079) 26461376, Fax: (079) 26461375	<b>Chennai</b> 6A, 6th Floor, Kences Towers, #1 Ramkrishna Street, North Usman Road, T. Nagar, Chennai - 600017 Tel: (044) 28143917 / 18, Fax: (044) 28144593
	<b>Kolkata</b> 5th Floor, The Millenium, Flat No. 5W, 235 / 2A, Acharya Jagdish Chandra Bose Road, Kolkata - 700020 Tel: (033) 22814661 / 62, Fax: (033) 22891945	<b>New Delhi</b> 409 / 410, Ashoka Estate Building, 4th Floor, Barakhamba Road, Connaught Place, New Delhi - 110001 Tel: (011) 23353815 / 17, Fax: (011) 23353756

### Investor Relationship Cell

**Officer-In-Charge,**  
National Securities Depository Ltd.  
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: (022) 24994200 / 40904200  
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### NSDL Certification Programme

**Officer-In-Charge,**  
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